Launching an Open Access Publication

AAST Executive Committee
Seminar

The Journal of
Trauma and
Acute Care
Surgery



Overview

Open Access Defined

"By open access, we mean its immediate, free availability on the public internet, permitting any users to read, download, copy, distribute, print, search or link to the full text of these articles, crawl them for indexing, pass them as data to software or use them for any other lawful purpose..."

The Budapest Open Access Initiative
 February 14, 2002

"OA is a kind of access, **not** a kind of business model, license, or content."

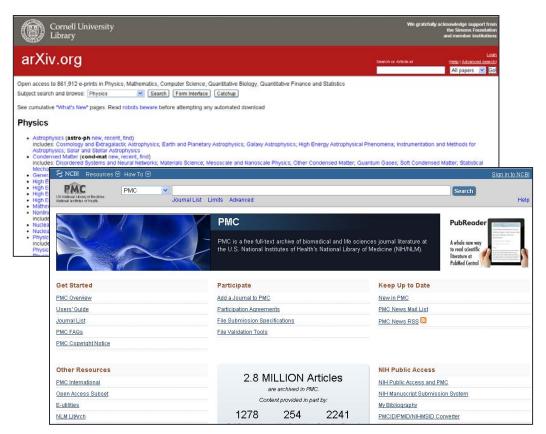
Peter Suber

Green Open Access

Green OA refers to a kind of access accomplished via repositories.

A repository is an online database of articles. Repositories may contain author-created preprints or post-publication works

12% of scientific literature in 2008. (Bjork et al. 2010)

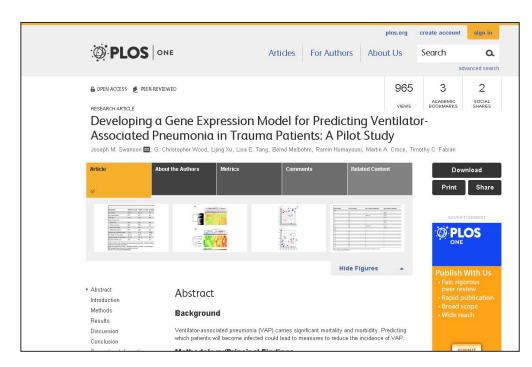


Gold Open Access

Gold OA refers to paying a fee to publish in a journal that provides immediate access to articles on the publisher's website.

Examples include articles published by BioMed Central and the Public Library of Science.

6-8% of peer-reviewed literature in 2009. (Laaski et al. 2011)

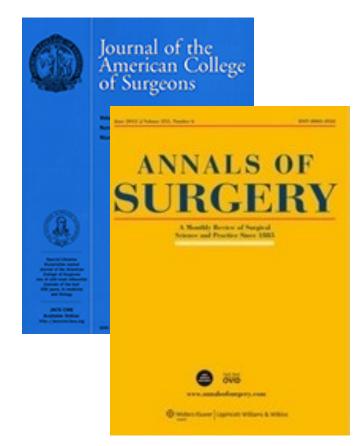


Hybrid Open Access

Hybrid OA is a form of gold OA, in which individual authors (or institutions/ funders) pay a fee to make articles free upon publication.

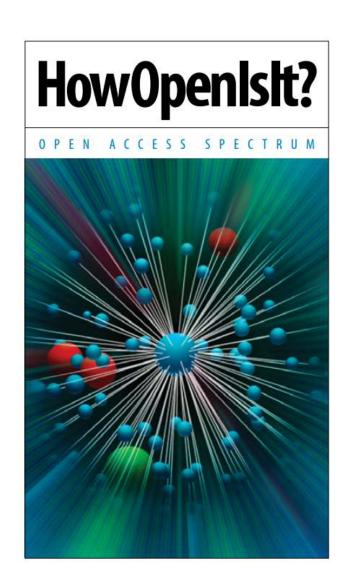
This option is available for the *Journal of Trauma*, pending BOM approval.

Delayed OA is another type of publisher-provided ('gold') access, whereby archives are open after a preset delay (e.g. 1 year post-publication)



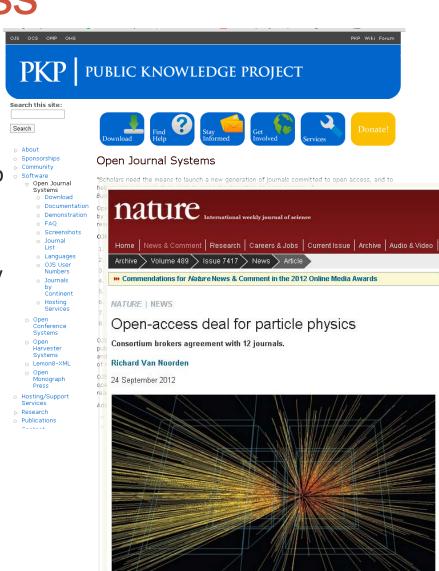
OA Usage Types

- Gratis OA is free of charge, but not necessarily free of copyright and licensing restrictions.
- Libre OA is free of charge and free
 of at least some copyright and
 licensing restrictions. There can be
 degrees of libre OA depending on the
 access model and copyright used.
- Variations on the Creative Commons copyright license available.



Pros of Open Access

- Format: More flexibility in the layout and structure of articles (full color, unlimited figs).
- Lower costs: Production costs constrained to online, peer review can be hosted on opensource software.
- Speed. Faster article publication cycles. Early citation advantage.
- Novelty. Continuous publication obviates need for print conventions (volumes, issues, etc). Transition to OA has led to diversity of funding/peer review types.
- Innovative. Focus on article-level metrics, interactive post-publication comments.
 Machine-readable content, open to data mining.



Cons of Open Access

Arani, J Trauma Treat 2013, 2.1 http://dx.doi.org/10.4172/2167-1222.1000156



Short Communication Open Access

Dinosaurs: Extinct or Traumatized?

Mohammad Ghannaee Arani*

Trauma Research Centre, Kashan University of Medical Sciences, Kashan, Iran

Abstract

Scientifically and evidently speaking, there is no doubt about, and disagreement in, the sudden disappearance of dinosaurs' species sometimes within the span of time. Paleontologists' estimate for the dinosaurs' life time does not match with the time given theologically for the creation of the earth. Anyhow, this study is targeted at relating dinosaur extinction to some blunt or penetrating traumatic events. The author is to provide evidences for the events weather naturally or due to an intentional act of God that were responsible for the nature- or God-induced traumatization of the species. Whatever the reason, there lies this certain fact that human race would not survive on the earth safe and sound if dinosaurs with their giant and custom-made bodies had not gone extinct. Thanks God for this naturally justified traumatization for the benefit of mankind.

- Complicated. Many changes are converging at once.
 Print to online.
 Subscription to APC.
 Funders mandating copyright.
- Monetizing OA has led to article processing charges (APCs), which may face downward pressure as market matures.
- Predatory. Low barriers have resulted in a proliferation of dubious gold OA
 "journals." When these disappear, they take content with them, thus disrupting
 record.
- **Indexing.** NLM and Thomson Reuters set proprietary standards. If new journal accepted, IF takes a minimum of 3 years.

"It no longer seems to be a question whether OA is a viable alternative to the traditional subscription model for scholarly journal publishing; the question is rather *when* OA publishing will become the mainstream model..."

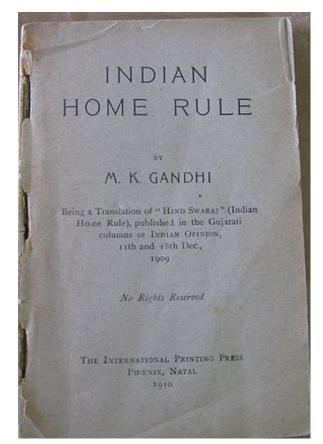
– Mikael Laakso
 Hanken School of Economics
 October 22, 2012

OA Trends

Timeline

2003

| 1980s | First free scholarly journals (proto-OA) appear online. |
|-------|---|
| 1991 | ArXiv, the first free online scientific repository launches at Cornell. |
| 1994 | National Academies Press offers free online |
| | access to all publications (including books). |
| 1997 | National Library of Medicine launches |
| | Medline/PubMed, the first free index. |
| 1999 | Open Archives Initiative launches protocol for |
| | online metadata. |
| 2001 | 34,000 scientists sign "An Open Letter to |
| | Scientific Publishers" calling for establishment |
| | of an open online full-text library. |
| 2002 | Budapest Initiative defines open access. |



Early OA, "no rights reserved" by Ghandi c. 1909

Bethesda Statement defines open access publishing.

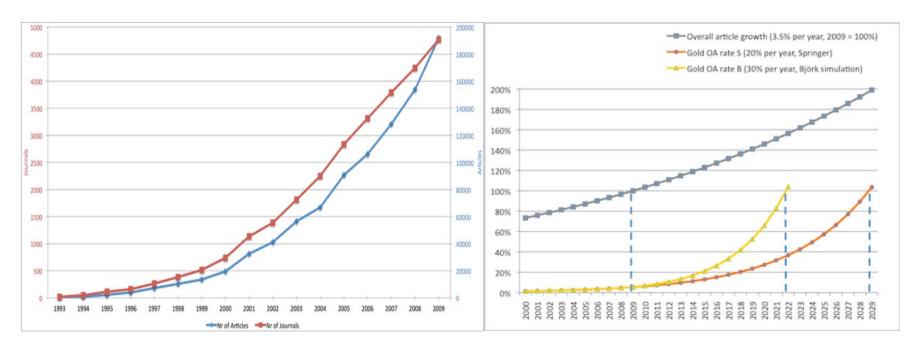
Berlin Declaration on Open Access commits organizations to implementing.

The Public Library of Science (PLOS) launches.

Growth of Open Access

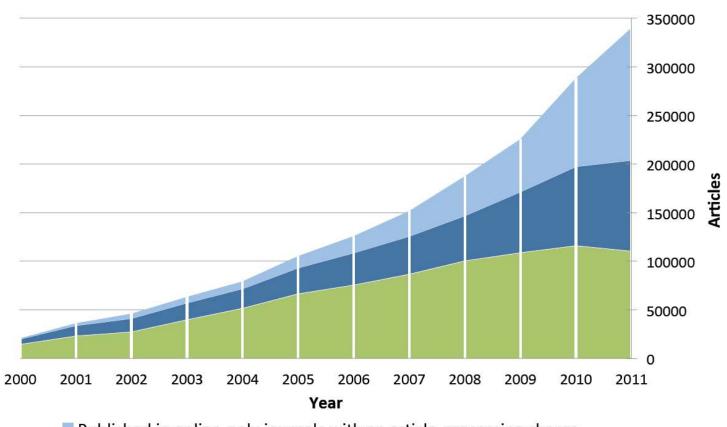
Gold OA Journals, 1993-2009

OA Growth Projections, 2009-2029



- 17% of the 1.66 million articles in 2011 published OA
- Two growth projections estimate that OA will account for 60% of all pubs in 2019 or 2025

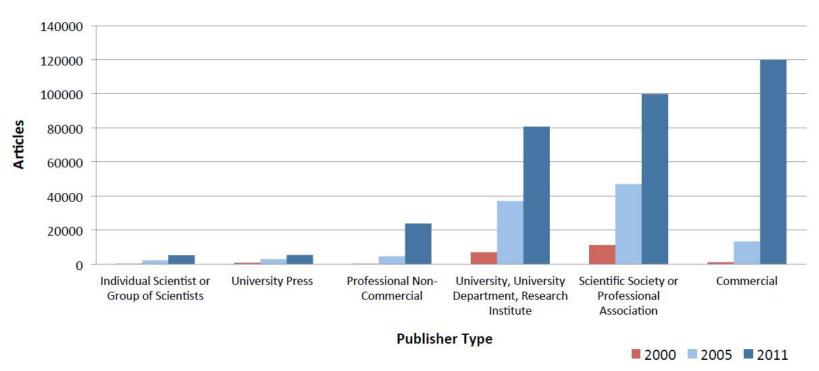
Growth by Revenue Model



- Published in online-only journals with an article-processing charge
- Published in online-only journals without an article-processing charge
- Published in subscription-based print journals with open access content online

Who publishes OA?

(Laakso and Björk, 2012)



- Early years of OA publishing were largely driven by scientific societies.
- Dramatic recent growth of commercial publisher-operated 'gold' OA publications (13,400 articles in 2005 to 119,900 in 2011).

Where is access open?

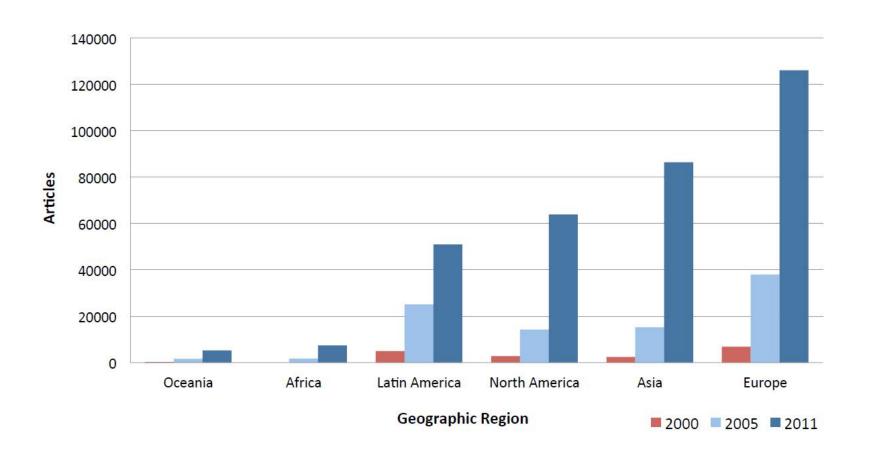
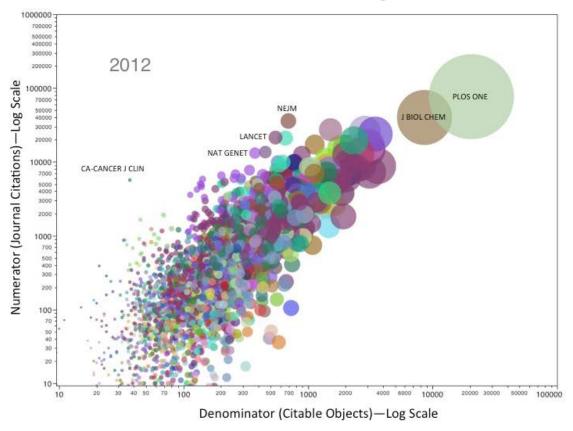


Chart only includes full immediate OA journals, excluding delayed and hybrid OA.

Why? Citation advantage



- First longitudinal study of citations found that OA articles are cited earlier and, on average, more often than non-OA articles (Eysenbach, 2006)
- Visualization of 2003-2012 Web of Science data (above) corroborates citation gain (Davis, 2013)

Mandates

Recent Mandates

U.S. Office of Science and Technology Memo (22 February 2013)

- Agencies with R&D budgets > \$100M = Open access within 12 months of publication.
- Details on implementation due 22 August 2013.

Executive Order (19 March 2013)

Open data policy

Goals of US Public Access Policies

- Expand access to taxpayer-funded research
- Accelerate the pace of scientific progress, increase scientific productivity
- Create permanently accessible archive
- Enhance accountability and transparency of funding agency
- Fuel economic growth, spur innovation, create jobs

Other Mandates

By Institution

- Harvard Open Access Policy: all faculty must deposit papers in institutional repository
- Cornell, Dartmouth, Harvard, MIT, and Berkeley reimburse authors for gold APC charges (Compact for Open-Access Publishing Equity)

By Funder

 Wellcome Trust, NIH, and Howard Hughes Medical Institute mandate OA within certain time periods (6 or 12 months)

Models

Publishing Models

Print & Online Subscription Journals

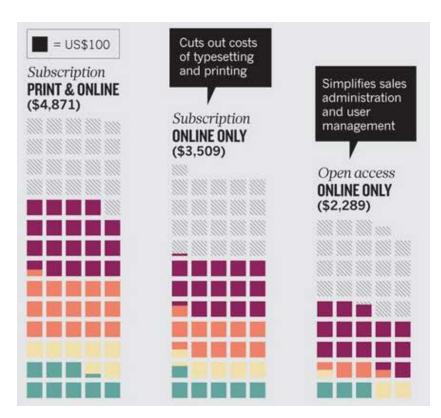
- Reader pays for access
- Print distribution
- Production and distribution costs
- Most revenue from institutional subscriptions
- Attrition due to low cost effectiveness of bundles and budget caps, ("serials crisis")
- Journal-level metrics as proxy for quality (i.e. impact factor)

Online Open Access Journals

- Author pays for publishing service
- Online distribution
- Typesetting, online hosting costs
- Revenue can be generated from article processing fees (APCs)
- Proliferation of funding types
- Rise of the "megajournal," validity not novelty
- Article-level metrics as proxy for quality (i.e. citation counts, altmetrics)
- Shift from print to online has radically disrupted publishing landscape. Rise of the megajournal due to pressure to publish and proliferation of low-overhead dissemination tools.
- "Editors once did indeed rule the roost, but now the leaders [of scholarly publishing] are technologists who think like economists." (Esposito, 2013)

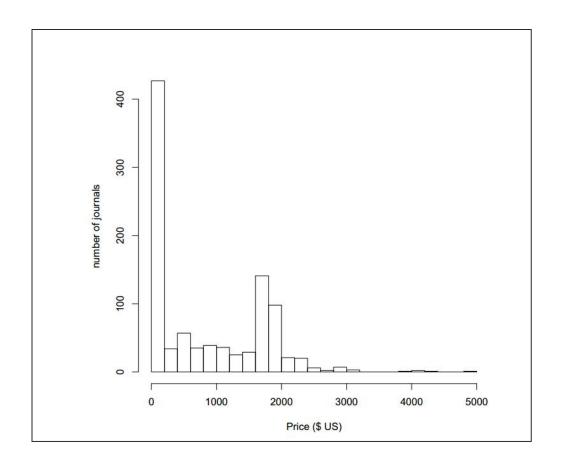
Publishing Costs

- Editorial management
- Peer review software system
- Copyediting and typesetting
- Page design
- Printing
- Distribution
- Advertising and marketing
- Indexing
- Rights management
- Web site design
- Web site hosting
- Data hosting
- Online products



- Graphic adapted by Nature from Houghton et al, 2009.
- Estimates above calculated by assuming a journal publishes 120 articles per year. Last year, JT produced 720 articles.

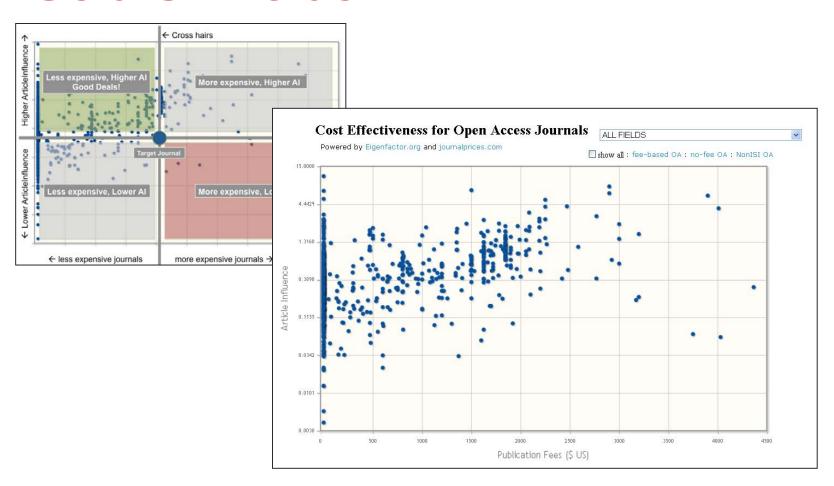
Gold OA Prices



Article publication fees for gold OA currently range from \$0 to \$4366. Median gold OA article charge is \$0. Mean is \$1200.

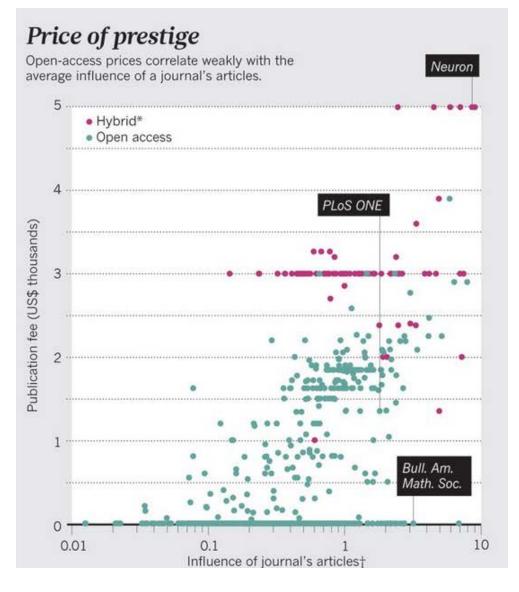
(West et al. 2013)

Gold OA Value



- Value tracked by Article Influence (AI, normalized citations) at eigenfactor.com.
- More prestigious gold OA journals tend to charge more (e.g. *J Clin Invest*, IF: 13.609 = \$0.26/word; PLOS Medicine, 15.235 = \$2900).

Gold vs Hybrid Cost



- Prestige (Al score) does not correlate with price when hybrid OA journals are added to the mix.
- Majority of hybrid APCs are at \$3000, regardless of impact factor.

Societies and OA

Society Journals

Owned and published by society



- Full control over content, copyright, dissemination, and revenue
- All publishing tasks and expenses in-house

Owned by society, publisher contracted



- Editorial independence, but commercial decisions made in partnership with society
- Production, hosting, and distribution handled by publisher
- Copyright held by either

Owned by publisher, affiliated with society



- Various models for editorial process (typically one external editor, staff provided by publisher)
- All business decisions and procedures handled by publisher

Societies and Open Access

- 740 society-owned OA publications in print (as of 24 July 2013)
- 146 societies operated more than one OA title

For societies that used a commercial publisher,
 BioMed Central, Nature, Oxford University Press,
 Springer, and Wiley were represented



Review of LWW Hybrid Option for *J Trauma Acute Care Surg*

LWW Summary

- LWW rolling out hybrid option to most journals in portfolio
- Research Councils UK (RCUK) requires this option for all published research; US federal agencies will announce requirements in Aug 2013.
- LWW aiming to implement hybrid OA by Q3 2013.
 Requested AAST approval.
- Additional revenue will be included in royalty to AAST.

LWW Implementation

Regular Hybrid Option

APC: \$3000

License: Creative Commons CC BY-NC-ND

Summary: After acceptance, authors pay fee to open access.

Author retains copyright, license forbids commercial reuse.

LWW can sell reprints.

RCUK Hybrid Option

APC: \$3800

License: Creative Commons CC-BY

Summary: Only available to RCUK-funded authors.

After acceptance, authors pay fee to open access. Author retains copyright, reuse possible. LWW may not sell reprints.

Current JT Access Levels

Access: Limited, promotional Reuse rights: None

Copyright:
With
publisher

Author posting: Some

Auto-Posting:
PMC within
12 months

Machine Readability: Unknown

| ess | Reader Rights | Reuse Rights | Copyrights | Author Posting Rights | Automatic Posting | Machine Readability |
|---------|--|---|---|---|--|--|
| N SS | Free readership rights to all articles immediately upon publication | Generous reuse & remixing rights (e.g., CC BY license) | Author holds copyright with no restrictions | Author may post any version to any repository or website | Journals make copies of articles automatically available in trusted third-party repositories (e.g., PubMed Central) immediately upon publication | Article full text, metadata, citations, & data, including supplementary data, provided in community machine-readable standard formats through a community standard API or protocol |
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Hybrid Option Access

Access:

Open on publication Reuse rights:

No commercial

Copyright:

With author

Author posting:

Auto-Posting:

Not specified Not specified

Machine Readability: Not

specified

| ccess | Reader Rights | Reuse Rights | Copyrights | Author Posting Rights | Automatic Posting | Machine Readability |
|---------------|--|---|---|---|--|--|
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| | Free and immediate readership rights to some, but not all, articles (including "hybrid" models) | | Publisher holds copyright, with some allowances for author reuse of published version | Author may post submitted version/draft of final work ("preprint") to certain repositories or websites | | Article full text, metadata, & citations may be crawled or accessed with permission |
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Hybrid Option for RCUK

Access:

Open on publication

Reuse rights:

Generous

Copyright:

With author

Author posting:

Not specified

Auto-Posting: Re

Not specified specified

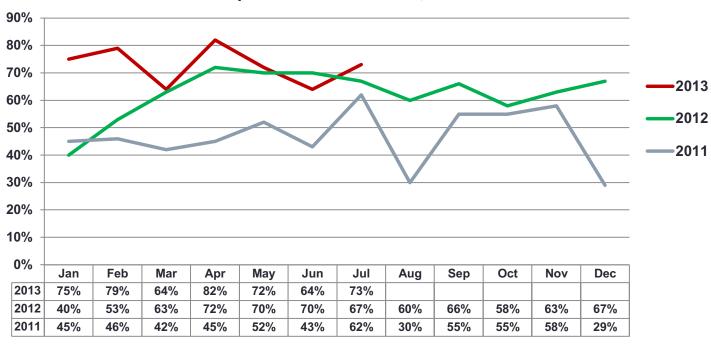
Machine Readability: Not

| s | Reader Rights | Reuse Rights | Copyrights | Author Posting Rights | Automatic Posting | Machine Readability |
|---|--|---|---|---|--|---|
| s | Free readership rights to all articles immediately upon publication | Generous reuse & remixing rights (e.g., CC BY license) | Author holds copyright with no restrictions | Author may post any version to any repository or website | Journals make copies of articles automatically available in trusted third-party repositories (e.g., PubMed Central) immediately upon publication | Article full text, metadata, citations, 8 data, including supplementary data, provided in community machine- readable standard formats through a community standard API or protocol |
| | Free readership rights to all articles after an embargo of no more than 6 months | Reuse, remixing, & further building upon the work subject to certain restrictions & conditions (e.g., CC BY-NC & CC BY-SA licenses) | Author holds copyright, with some restrictions on author reuse of published version | Author may post final version of the peer-reviewed manuscript ("postprint") to any repository or website | Journals make copies of articles automatically available in trusted third-party repositories (e.g., PubMed Central) within 6 months | Article full text, metadata, citations & data, including supplementary data, may be crawled or accessed through a community standard API or protocol |
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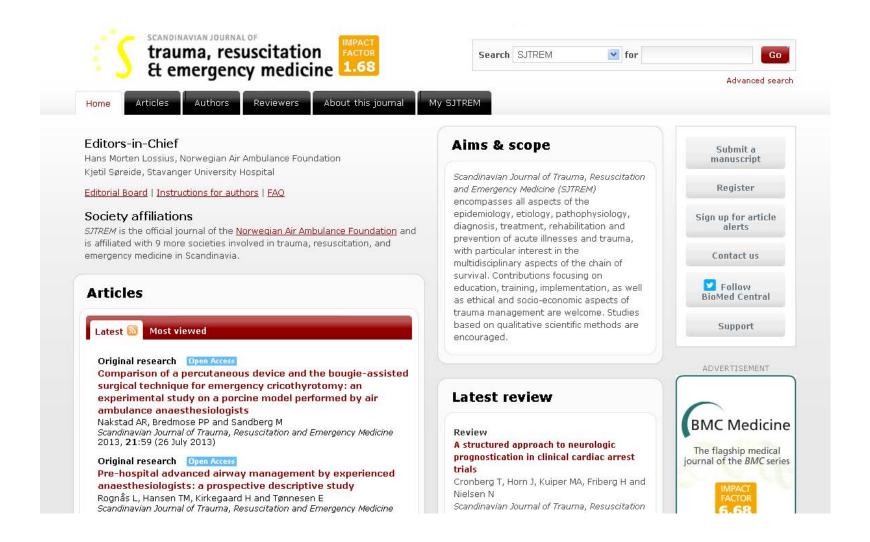
Gold OA Publishing

J Trauma Rejections Rising

Monthly Rejection Rates Independent Submissions, 2011-2013



JTACS is the third-largest surgical journal in the world. Rejected papers nearly always find homes elsewhere...



- Published by Biomed Central
- Launched July 2008, IF: 1.68
- APC = \$1865, discounts for BMC members







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Articles

Authors

Reviewers

About this journal

My Journal of Trauma Management & Outcome:

Editors-in-Chief

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The Journal of Trauma Management & Outcomes is affiliated with the Association of Chinese Orthopaedic Surgeons.



Decade of Action for Road Safety An article collection Accepting submissions

Aims & scope

Journal of Trauma Management & Outcomes is an open access, peer-reviewed, online journal encompassing all diagnostic, prognostic, and therapeutic aspects of trauma.

The journal's main focus is placed on interventions with proven efficacy or inefficacy in improving clinically relevant outcomes such as mortality, morbidity, quality of life, function and costs.

- Published by Biomed Central
- Launched November 2007, IF: 0.95
- APC = \$1690, discounts for BMC members

July-September 2013 | Vol 6 | Issue 3



RSS

Editorial

What's new in emergencies, trauma and shock? Pellets, rubber bullets, and shotguns: Less lethal or not?

Timothy C Hardcastle

The article entitled, "Pattern, presentation and management of vascular injuries due to pellet and rubber bullets in a conflict zone" [1] published in this issue of the journal illustrates an interest...

[Abstract] | [HTML Full text] | [PDF] | [Mobile HTML Full text] | [EPub]

Original Article

Pattern, presentation and management of vascular injuries due to pellets and rubber bullets in a conflict zone

Mohd L Wani, Ab G Ahangar, Farooq A Ganie, Shadab N Wani, Gh Nabi Lone, Ab M Dar, Mohd Akbar Bhat, Shyam Singh

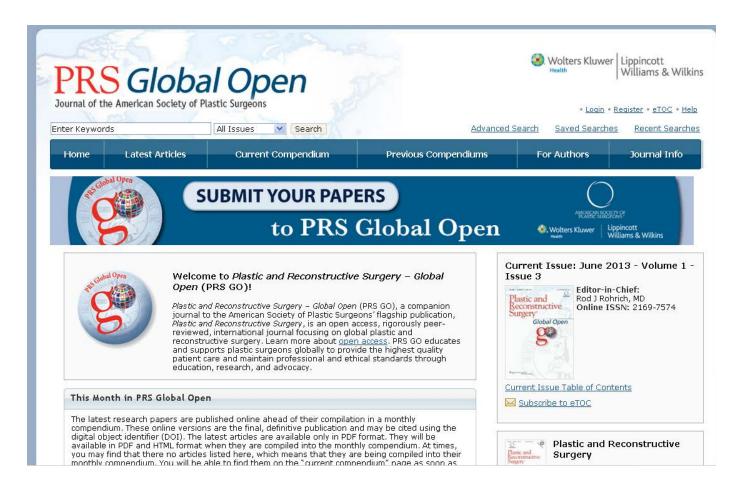
Original Article

Characteristics of patients that experience cardiopulmonary arrest following aortic dissection and aneurysm

Youichi Yanagawa, Toshihisa Sakamoto

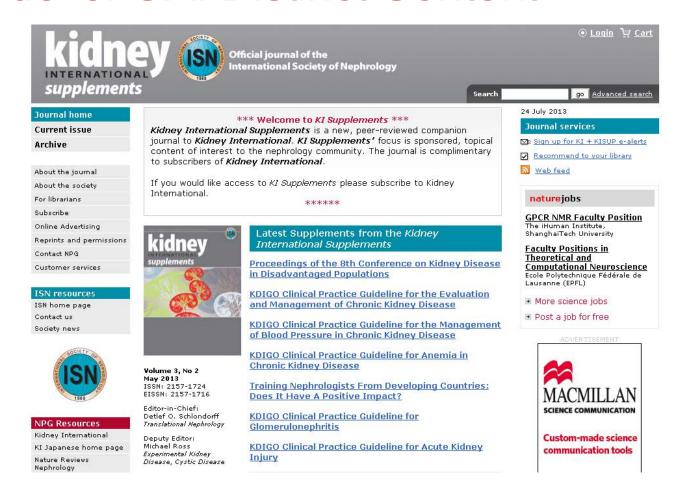
- Published by Medknow/Wolters Kluwer
- Launched January 2008
- APC = \$200

Model of OA: Parallel Publication



- Published by LWW
- Launched May 2013
- APC = \$1995 for full article, \$500 case reports

Model of OA: Distinct Content



- Published by Nature Publishing Group
- Launched 2011
- No APC sponsored supplements only, free to subscribers

Model of OA: Microjournals

The highest impact factor in the world belongs to an openaccess journal:

CA - A Cancer Journal for Clinicians

2012 IF = 153.459

This is due to the citation advantage of OA coupled with CA's very low number of citable articles.

This journal typically publishes fewer than 20 papers a year (APC = \$0).

```
Journal Information U
                       Full Journal Title: CA-A CANCER JOURNAL FOR CLINICIANS
                      ISO Abbrev. Title: CA-Cancer J. Clin.
                      JCR Abbrev. Title: CA-CANCER J CLIN
                                   ISSN: 0007-9235
                          Issues/Year:6
                             Language: ENGLISH
             Journal Country/Territory: UNITED STATES
                              Publisher: WILEY-BLACKWELL
                     Publisher Address: 111 RIVER ST, HOBOKEN 07030-5774, NJ,
                    Subject Categories: ONCOLOGY
                                                      SCOPE NOTE
                                                                  VIEW JOURNAL SUMMARY LIST
                                                                                         VIEW CATEGORY DATA
            Journal Rank in Categories: 🛔 JOURNAL RANKING
Journal Impact Factor 🕕
Cites in 2012 to items published in: 2011 = 2758 Number of items published in: 2011 = 19
                                                                          2010 = 18
                                 2010 = 2920
                                 Sum: 5678
                                                                          Sum: 37
Calculation: Cites to recent items
                                      5678 = 153.459
          Number of recent items
5-Year Journal Impact Factor ①
Cites in {2012} to items published in: 2011 = 2758 Number of items published in: 2011 = 19
                                    2010 = 2920
                                                                             2010 = 18
                                   2009 = 1489
                                                                             2009 = 23
                                   2008 = 1034
                                                                             2008 = 19
                                    2007 = 654
                                                                             2007 = 21
                                    Sum: 8855
                                                                             Sum: 100
Calculation: Cites to recent items
                                       8855 = 88.550
          Number of recent items
                                       100
```

Model of OA: Megajournals

The largest open access journal in the world is:

*PLOS ONE*2012 IF = 3.730

In 2013, PLOS ONE has published > 2,500 articles per month (APC = \$1350).

PLOS peer review emphasizes validity of research strategy, rather than novelty of results.

```
Journal Information 1
                 Full Journal Title: PLoS One
                ISO Abbrev. Title: PLoS One
                JCR Abbrev. Title: PLOS ONE
                             ISSN: 1932-6203
                     Issues/Year:0
                       Language: ENGLISH
       Journal Country/Territory: UNITED STATES
                        Publisher: PUBLIC LIBRARY SCIENCE
               Publisher Address: 1160 BATTERY STREET, STE 100, SAN FRANCISCO, CA 94111
              Subject Categories: MULTIDISCIPLINARY SCIENCES
                                                                  SCOPE NOTE
                                                                             VIEW JOURNAL SUMMARY LIST
                                                                                                     NIEW CATEGORY DATA
       Journal Rank in Categories: 

JOURNAL RANKING
Journal Impact Factor i
Cites in 2012 to items published in: 2011 = 42871 Number of items published in: 2011 = 13781
                                2010 = 33604
                                                                          2010 = 6722
                                 Sum: 76475
                                                                          Sum: 20503
Calculation: Cites to recent items
                                      76475 = 3.730
          Number of recent items
                                      20503
5-Year Journal Impact Factor i
Cites in {2012} to items published in: 2011 = 42871
                                                  Number of items published in: 2011 = 13781
                                   2010 = 33604
                                                                              2010 = 6722
                                                                              2009 = 4403
                                   2009 = 23280
                                   2008 = 15651
                                                                              2008 = 2717
                                   2007 = 7039
                                                                              2007 = 1230
                                   Sum: 122445
                                                                              Sum: 28853
Calculation: Cites to recent items
                                      122445 = 4.244
          Number of recent items
                                      28853
```

What does OA cost?

- Costs of production are minimal (website hosting, submission software, ecommerce, copyediting, typesetting, and editorial)
- Costs of "publishing" are variable. These will only be determined (or negotiated) when you have a projection from a publishing partner.
 Added costs may include advertising, marketing, management, and promotion.
- Time costs are significant. In publishing, the only act more laborintensive than transitioning a journal is launching one.
- Earlier this year, LWW recommended an APC rate of \$1900 for a new AAST open journal.

What is needed?

- Decision from AAST to move forward
- Definition of aims and scope (content guidelines)
- Editorial structure and relationship to current journal
- Copyright and pricing levels determined
- Publishing preferences articulated (level of service, specifics)
- Tentative launch date (backdate call for submissions)
- Business plan and publishing agreement

Strategy Session

Sample SWOT

From JM Velterop, Budapest Initiative Guide for Societies, 2006

| | Toll Access | Hybrid Access | Gold Open Access |
|---------------|--|---|--|
| Strengths | Long historyPrevailing modelReaders/authors used to it | Offers controlled changeMay be stableChoice to authorsSubmissions flow certainScales well | Maximum dissemination & visibility Transparent Costs proportional to activity Scales well |
| Weaknesses | Sustainability uncertain; "sudden death" possible Not transparent No price/quality relationship Curtails dissemination Strong reliance on copyright compliance | Some potential for confusion (what is open and what is not) Some reliance on copyright compliance (for traditional articles) | Imposes OA Suboptimal for unfunded research Submission flow uncertain |
| Opportunities | Profits available now | Relatively 'painless' transition to OA Offers impact gains Offers growth potential Differential pricing | Offers impact gainsOffers growth potential'Tipping point' in favor of OADifferential pricing |
| Threats | Ongoing, accelerating, subscription attrition No differential pricing Increased downward price pressure 'Tipping point' in favor of OA | Level of article charges may face downward pressure | Level of article charges may face downward pressure |

SMART Goals

| Specific | What would the AAST accomplish by launching a new journal? Why? Specific purpose or benefits of accomplishing the goal. Who would be involved? Where would the work take place? Which requirements will be needed? Any constraints? | |
|------------|---|--|
| Measurable | How will success be measured (performance metrics)? | |
| Attainable | How can it be achieved? Are there sufficient resources available to make it happen? | |
| Relevant | How sensible is this plan in the context of the AAST's overall strategy? Does it fit into the association's mission? | |
| Timebound | What's the deadline? Is the deadline feasible? Is it appropriate to work on it now? | |